

Attorney Docket # 502902-225PUS

By Express Mail #EV857193821US

Patent

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re National Phase PCT Application of  
Bert BRAUNE et al.  
Int'l PCT Appln. No.: PCT/DE2004/002136  
Int'l Filing Date: September 24, 2004  
For: Green-Emitting LED

Check box if applicable:

☐ DUPLICATE

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**INFORMATION DISCLOSURE STATEMENT**

S I R:

In compliance with the duty of disclosure under 37 C.F.R. § 1.56 and in accordance with the practice under 37 C.F.R. §§ 1.97 and 1.98, the Examiner's attention is directed to the documents listed on the enclosed Form PTO/SB/08a. Copies of the listed documents are enclosed.

The references submitted herewith have been on page 1 of the instant specification.

It is respectfully requested that the above information be considered by the Examiner and that the copy of the enclosed Form PTO/SB/08a be returned indicating that such information has been considered.

In accordance with 37 C.F.R §§1.97(g) and (h), the filing of this Information Disclosure Statement should not be construed as a representation that a search has been made or that information cited is, or is considered to be, material to patentability as defined in §1.56(b), or that any cited document listed or attached is (or constitutes) prior art. Unless otherwise

indicated, the date of publication indicated for an item is taken from the face of the item and Applicant(s) reserve(s) the right to prove that the date of publication is in fact different.

If any fees or charges are deemed required at this time in connection with the application, the same may be charged to our Patent and Trademark Office Deposit Account No. 03-2412.

Respectfully submitted,  
 COHEN, PONTANI, LIEBERMAN & PAVANE

By: Thomas Langer  
 Thomas Langer  
 Reg. No. 27,264  
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 (212) 687-2770

Dated: March 20, 2006

PTO/SB/08A (04-03)

Approved for use through 04/30/2003, OMB 0651-0031

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Substitute for Form 1449/PTO

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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*Complete if Known*

Application Number

Filing Date

First Named Inventor

Herbert BRUNNER

### Art Unit

Examiner Name \_\_\_\_\_

Attorney Docket Number

502902-225PUS

Sheet

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of

2

## U.S. PATENT DOCUMENTS

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## FOREIGN PATENT DOCUMENTS

| Examiner Initials* | Cite No. | Foreign Patent Document  | Publication Date<br>MM-DD-YYYY | Name of Patience or Applicant of Cited Document | Pages, Columns, Lines,<br>Where Relevant Passages or Relevant Figures Appear | T <sup>6</sup> |
|--------------------|----------|--|--------------------------------|---|--|----------------|
|                    |          | Country Code* Number <sup>2</sup><br>Kind-Code <sup>3</sup> (if known) |                                |   |  |                |
| /A.W./             |          | DE 100 24 924 A1   | 11/29/2001                     | Faschinger                                      |  | Abstract       |
| /A.W./             |          | DE 198 06 536 A1   | 08/20/1989                     | Yoshinaga et al.                                |  | Abstract       |
| /A.W./             |          | EP 1 150 361 A1  | 04/23/2001                     | Mueller-Mach et al.                             |  |                |
| /A.W./             |          | EP 0 584 599 A1  | 08/04/1993                     | Neumann et al.                                  |  |                |
| /A.W./             |          | WO 01/89001 A2   | 11/22/2001                     | Srivastava et al.                               |  |                |
|                    |          |  |                                |   |  |                |
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|                    |          |  |                                |   |  |                |

|                       |                  |                    |            |
|-----------------------|------------------|--------------------|------------|
| Examiner<br>Signature | /Aaron Williams/ | Date<br>Considered | 07/09/2008 |
|-----------------------|------------------|--------------------|------------|

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|---|--------------------------|-----------------|
| Substitute for Form 1449/PTO<br><br><b>INFORMATION DISCLOSURE<br/>STATEMENT BY APPLICANT</b><br>(Use as many sheets as necessary) | <b>Complete if Known</b> |                 |
|   | Application Number       |                 |
|   | Filing Date              |                 |
|   | First Named Inventor     | Herbert BRUNNER |
|   | Art Unit                 |                 |
|   | Examiner Name            |                 |
| Sheet            2            of            2   | Attorney Docket Number   | 502902-22SPUS   |

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|                       |                  |                    |            |
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| Examiner<br>Signature | /Aaron Williams/ | Date<br>Considered | 07/09/2008 |
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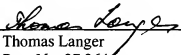
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Submitted herewith is a copy of the International Search Report issued in connection with International Application on which the present U.S. National Phase application is based.

Copies of the references cited in the International Search Report have been supplied by WIPO to the USPTO. Therefore, copies of the cited references in the International Search Report are not being provided herewith in accordance with current U.S. practice because they should be on file already at the USPTO.

It is respectfully requested that the Examiner return an initialed copy of the attached Form PTO SB/08A to confirm that the publications supplied by WIPO and listed therein have been considered and made of record.

Respectfully submitted,  
COHEN, PONTANI, LIEBERMAN & PAVANE

By   
Thomas Langer  
Reg. No. 27,264  
551 Fifth Avenue, Suite 1210  
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Sheet 1 of 2

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Art Unit

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Number

Bert BRAUNE

502902-225PUS

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| Examiner Initials* | Cite No. <sup>1</sup> | Foreign Patent Document                                    | Publication Date<br>MM-DD-YYYY | Name of Patentee or<br>Applicant of Cited Document | Pages, Columns, Lines,<br>Where Relevant Passages or<br>Relevant Figures Appear | T <sup>6</sup> |
|--------------------|-----------------------|--|--------------------------------|--|---|----------------|
|                    |                       | Country Code* Number*<br>Kind-Code <sup>3</sup> (if known) |                                |  |   |                |
| /A.W./             |                       | EP 1 411 558 A   | 04/21/2004                     | Lumileds Lighting U.S.<br>LLC.                     |   |                |
| /A.W./             |                       | EP 1 413 618 A   | 04/28/2004                     | Osram Opto<br>Semiconductors GmbH                  |   |                |
|                    |                       |  |                                |  |   |                |
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/Aaron Williams/

Date Considered

07/25/2008

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Examiner  
Signature

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